



Urja Global Ltd.

(AN ISO 9001 Co.)
CIN No. L67120DL1992PLC048983

Date: 30th June, 2021

To
The Manager (Listing)
Bombay Stock Exchange Limited
Phirozejeejeebhoy Towers
25th Floor, Dalal Street
Mumbai - 400001

To
The Manager (Listing)
National Stock Exchange of India
Exchange Plaza, C-1, Block G
Bandra Kurla Complex
Bandra(E), Mumbai-400051

BSE Scrip Code- 526987

Ref: NSE Symbol -URJA

Subject: Annual Secretarial Compliance Report under Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI circular CIR/CFD/CMD-I/27/2019 dated 08.02.2019

Dear Sir/Madam

In pursuance to the applicable clauses of Regulation 24A of SEBI Obligations and Disclosure (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI circular CIR/CFD/CMD-I/27/2019 dated 08.02.2019, please find enclosed herewith Secretarial Compliance Report issued by M/s Nupur Jain & Associates, Company Secretary in Practice for the financial year ended March 31, 2021. The same is for your information and record.

Kindly take the information on your records.

Thanking you

For URJA GLOBAL LIMITED

NEHA SHUKLA
Company Secretary



Regd. off: 487/63, 1st Floor, National Market,
Peeragarhi, New Delhi-110087

11-25279143, 45588275
Fax : 11-25279143

info@urjaglobal.in
www.urjaglobal.in



DATE: 30.06.2021

To,
The Board of Directors,
M/s URJA GLOBAL LIMITED
Add: 487/63, 1st Floor, National Market,
Peeragarhi New Delhi 110087

Secretarial Compliance Report for the financial year ended March 31, 2021

I have been engaged by URJA GLOBAL LIMITED having (CIN: L67120DL1992PLC048983), having registered office 487/63, 1st Floor, National Market, Peeragarhi New Delhi West Delhi DL 110087. Whose equity shares are listed on BSE/NSE to conduct an audit and issue Secretarial compliance report in term of Regulations 24A of SEBI (Listing obligations & Disclosure Requirements) Regulations, 2015 as amended from time to time read with the SEBI circular No. CIR/CFD/CMD1/27/2019 dated February 08, 2019.

It is the responsibility of the management of the company to maintain records, device proper systems to insure compliance with the provision of all applicable SEBI regulations and circular's/guidelines issued thereunder from time to time and to ensure that the systems are adequate and operating effectively.

My responsibility is to verify compliance by the company with the provision of all applicable SEBI Regulations and circulars/ Guidelines issued thereunder from time to time and issue report thereon.

The audit was conducted in accordance with the guidance note on Secretarial Compliance Report issued by Institute of Company Secretaries of India Secretarial Compliance Report for the financial year ended March 31, 2021 is attached herewith.

Thanking You

For Nupur Jain & Associates
Company Secretaries



Proprietor
M.No. 54645, C.P. No. 20313
UDIN: A054645C000551053
Place: Delhi



**ANNUAL SECRETARIAL COMPLIANCE REPORT
OF
URJA GLOBAL LIMITED
FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2021**

[Pursuant to Circular CIR/CFD/CMD1/27/2019 dated February 08, 2019 for the Purpose of compliance with Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015]

To,
The Board of Directors,
M/s URJA GLOBAL LIMITED
Add: 487/63, 1st Floor, National Market,
Peeragarhi New Delhi 110087

I, Nupur Jain, Practising Company Secretary have examined:

- (a) All the documents and records made available to me and explanation provided by URJA GLOBAL LIMITED (CIN No: L67120DL1992PLC048983) ("the listed entity"),
- (b) The filings/ submissions made by the listed entity to the stock exchanges i.e. BSE/ NSE limited
- (c) Website of the listed entity,
- (d) All other document/ filing, as may be relevant, which has been relied upon to make this certification,

For the year ended 31st March, 2021 ("Review Period") in respect of compliance with the provisions of:

- I. The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and
- II. The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The Specific Regulations whose provisions and the circulars/ guidelines issued thereunder have been examined include:



- I. Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations,2015;
- II. Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations,2018;
- III. Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations,2011 ;
- IV. The provisions of Securities and Exchange Board of India (Buyback of Securities) Regulations,2018; **(Not applicable as the company has not brought back/ propose to any of its security during the period under review) ;**
- V. Securities and Exchange Board of India (Share Based Employee Benefits) Regulations,2014; **(Not applicable as the company has not issued shares in terms of the above regulations during the period under review) ;**
- VI. Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations,2008; **(Not applicable as the company has not issued and listed debt securities during the period under review);**
- VII. Securities and Exchange Board of India(Issue and Listing of Non- Convertible and Redeemable Preference Shares)Regulations,2013; **(Not applicable as the company has not issued and listed Non-convertible and Redeemable Preference shares during the period under review) ;**
- VIII. Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations,2015 and the Circular and guidelines issued thereunder;
- IX. Securities and Exchange Board of India (Depositories and participants) Regulations, 2018;
- X. The Securities and Exchange Board of India (Registrar to an issue and share transfer Agents) Regulation, 1993;
- XI. Other Regulations, as applicable to the Company and circulars/ guidelines issued thereunder;

And based on above examination I hereby report that during the review period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below: -



Sr.No.	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Observations/ Remarks of the Practicing Company Secretary
1.	Closure of trading window as per the SEBI (Prohibition of Insider Trading) Regulations, 2015 for the Quarter ended: March 2020 and June 2020.	The Company had closed the trading window after the Commencement of Quarter.
2.	Financial of Company as per Regulation 33 and Schedule III of SEBI (Listing Obligations & Disclosure Requirement) Regulations, 2015.	Quick Results of the quarter ended 30 th September 2020 upload on the exchange on 12.11.2020 and the Board meeting was held on 11.11.2020.
3.	Related party transactions as per Regulation 23 of SEBI (Listing Obligations & Disclosure Requirement) Regulations, 2015.	The Company had filed the related party transaction related to September 2020 after the time period specified. Hence Exchange had imposed the penalty for late filing and the Company had paid that penalty on time.

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.



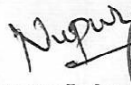
1.	Bombay Stock Exchange (BSE) Limited	Regulation 23(9) of SEBI (Listing Obligations & Disclosure Requirements), 2015: Filing of Related Party Transaction related to September 2020.	BSE has imposed fine on the Company amounting Rs. 23,600	The Company had made the payment of the fine imposed by BSE on 6th February, 2021
2.	National Stock Exchange of India (NSE)	Regulation 23(9) of SEBI (Listing Obligations & Disclosure Requirements), 2015: Filing of Related Party related to September 2020.	NSE has imposed fine on the Company amounting Rs. 23,600.	The Company had made the payment of the fine imposed by NSE on 30 th January, 2021
3.	Securities & Exchange Board of India	Show Cause Notice under Sections 11(1), 11(4), 11(4A) and 118 (1) of the Securities and Exchange Board of India Act, 1992, in the matter of M/s Urja Global Limited in violation of SEBI (Prohibition of Fraudulent and Unfair Trade Practices relating to Securities Market) Regulations, 2003 and SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.	The listed entity shall provide specific and adequate reply to all queries raised by stock exchange(s) with respect to any events or information: Provided that the stock exchange (s) shall disseminate information and clarification as soon as reasonably practicable.	The company has filed sufficient reply to SEBI. If further any clarification required by SEBI then Company will submit reply on time.



(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31 st March, 2020 (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NIL				

For Nupur Jain & Associates
Company Secretaries


Nupur Jain
Proprietor
M.No. 54645, C.P. No. 20313
UDIN: A054645C000551053



Date: 30.06.2021

Place: Delhi